



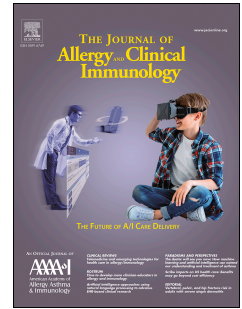
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Maternal COVID-19 disease, vaccination safety in pregnancy, and evidence of protective immunity

Amelie Pham, MD, David M. Aronoff, MD, Jennifer L. Thompson, MD



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5 Authors: Amelie Pham, MD^a, David M. Aronoff, MD^{a, b}, and Jennifer L. Thompson, MD^a

6 ^aDivision of Maternal Fetal Medicine, Department of Obstetrics and Gynecology,
7 Vanderbilt University Medical Center, Nashville, TN, 37232

8 ^bDepartment of Medicine, Vanderbilt University Medical Center, Nashville, TN,
9 37232

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17 Corresponding Author: Jennifer L. Thompson, MD

18 Division of Maternal Fetal Medicine, Department of Obstetrics and Gynecology

19 Vanderbilt University Medical Center

20 1161 21st Avenue South

21 Nashville TN, 37232, USA

22 Email: Jennifer.l.thompson.1@vumc.org

23 Telephone: (615)-322-0093, Fax: (615) 875-2231

24 **MANUSCRIPT**

25 Over the past 18 months, the world has seen the largest pandemic, caused by the severe acute
26 respiratory syndrome (SARS) coronavirus 2 (CoV-2). As of June 28th, 2021, the Center for
27 Disease Control (CDC) reported 98,948 cases of COVID-19 infection in pregnancy and 109
28 related maternal deaths in the United States alone.(1) As the pandemic continues to evolve, the
29 rapid and overwhelming increase in available evidence on the impact in pregnancy has resulted
30 in studies of varying degrees of bias and quality. In this brief review, we seek to fill some of the
31 knowledge gaps regarding maternal care considerations and answer some key questions about
32 vaccination safety in pregnancy and evidence of protective immunity (Figure 1).

34 **Effects of COVID-19 in pregnancy**

35 Clinical findings: symptoms, labs, imaging

36 Maternal COVID-19 disease varies widely, but clinical course, laboratory findings, and
37 radiological patterns found in pregnancy (Table 1) are similar to the non-pregnant population.(2)
38 Although some patients may be asymptomatic, presence of any COVID-19 symptoms was found
39 to be associated with increased maternal morbidity and mortality.(1)

40
41 (Table 1)

43 Maternal and neonatal outcomes

44 Maternal COVID-19 is associated with consistent and substantial increases in morbidity and
45 mortality when infected pregnant versus non-pregnant individuals are compared.(1) A large
46 study conducted by the Maternal-Fetal Medicine Unit (MFMU) Network including 1219

47 patients, reported that mothers with severe or critical COVID-19 disease and their neonates are at
48 increased risk for a number of perinatal complications, including cesarean birth, hypertensive
49 disorders of pregnancy, preterm birth, venous thromboembolism, neonatal intensive care unit
50 (ICU) admission, and lower birth weight, compared to asymptomatic mothers.(3) Pregnancy is
51 also independently associated with an increased risk for ICU admission, needing extracorporeal
52 membrane oxygenation, and maternal death among patients with symptomatic COVID-19
53 infection. Moreover, comorbidities (body mass index higher than 35 kg/m², diabetes, and
54 cardiovascular disorders) and advanced maternal age also appear to have an independent risk for
55 adverse maternal outcomes.

56

57 **Vertical transmission of SARS-COV-2, maternal immunity, and protection of the neonate**

58 Vertical transmission is defined as evidence of transmission of the SARS-CoV-2 virus from the
59 mother to the fetus or newborn. Studies have evaluated SARS-CoV-2 viral concentrations in
60 umbilical cord blood and placenta. Real-time reverse transcription polymerase chain reaction
61 (rRT-PCR) has been used to evaluate amniotic fluid, newborn blood, urine, nasopharyngeal,
62 fecal, and rectal swabs. Positive samples have been rare and significant neonatal respiratory
63 disease, even in the presence of SARS-CoV-2 positivity, is even more infrequent.(4) The CDC
64 reported that transmission of SARS-CoV-2 virus to neonates occurred primarily through
65 respiratory droplets during the postnatal period when neonates are exposed to mothers or other
66 caregivers with COVID-19 disease. Overall, the risk of vertical transmission of the SARS-CoV-2
67 virus is approximately 3.2%.(5)

68

69 *In utero* fetal production of immunoglobulin (Ig) G and IgM antibodies start in the 20th week of
70 gestation, therefore the majority of neonatal IgG is of maternal origin. IgG positivity cannot
71 support or refute vertical transmission. IgM antibodies do not cross the placenta and therefore
72 IgM presence in the fetus or neonate is thought to represent fetal or neonatal production in
73 response to an infection. However, in case reports describing identification of COVID-19 IgM
74 antibodies in the neonate, infants have been asymptomatic and tested negative for SARS-CoV-2
75 viral RNA at birth. While plausible that the presence of these IgM antibodies represents
76 crossover from maternal to fetal circulation, the presence of IgM antibodies in these infants
77 could provide evidence for intrauterine vertical transmission. There are some case reports
78 demonstrating evidence of transplacental transmission, however these reports remain scarce.
79 Overall, there is limited evidence of the timing for the production of IgM and IgG during
80 COVID-19 infection or the timeline for development of long-term immunity and more data are
81 needed regarding the potential and appropriate testing to determine risk of vertical transmission.

83 **Vaccine safety in pregnancy**

84 There are currently three approved vaccines for use in the United States (Table 2). Although not
85 specifically included in the initial phase III vaccine trials, pregnant patients were not excluded as
86 part of the Food and Drug Administration (FDA) issued Emergency Use Authorization (EUA).
87 Given the increased risk of disease severity in pregnancy, professional organizations advocate
88 for availability of vaccine for pregnant and lactating persons. Early data from developmental and
89 reproductive toxicity (DART) studies for both the Pfizer and Moderna vaccine did not
90 demonstrate direct or indirect harmful effects with respect to pregnancy, fetal development,

91 delivery or postnatal complications. Additionally, DART data on the Johnson & Johnson vaccine
92 have not demonstrated adverse outcomes.

93

94 Since the EUA for the Pfizer and Moderna vaccines, over 128,306 pregnant patients have
95 received the vaccine and registered with the CDC V-safe program. Recent data from the CDC V-
96 safe program found side effects from the vaccine were similar between pregnant and
97 nonpregnant women. Additionally, when evaluating 827 completed pregnancies, there was no
98 increased risk in adverse pregnancy outcomes including miscarriage, preterm birth, small for
99 gestational age, and neonatal death when compared to data prior to the COVID-19 pandemic.(6)

100

101 A recent study of 131 patients found the mRNA COVID-19 vaccines to be highly effective in
102 producing vaccine-induced antibody titers in pregnant (median [interquartile range, IQR] 5.74
103 (5.06–6.22]) and lactating women (5.62 [4.77–5.98]), who had titers similar to those of
104 nonpregnant women (median [IQR] 5.59 [4.68–5.89]).(7) All vaccine-generated titers were
105 higher than those generated by SARS-CoV-2 infection during pregnancy. Furthermore, vaccine-
106 generated antibodies were found in all umbilical cord and breast milk samples. This study
107 provided reassuring data that pregnant individuals have a similar response to the vaccine as non-
108 pregnant individuals. Another cohort study from Israel comparing vaccinated pregnant patients,
109 PCR-confirmed SARS-CoV-2 infected pregnant patients, and unvaccinated non-infected
110 pregnant controls looked at the effect of the mRNA vaccine (Pfizer-BioNTech) versus native
111 infection on maternal humoral and transplacentally acquired fetal immune response. They found
112 a robust maternal induced humoral response to the vaccine that effectively transfers to the fetus,
113 also supporting the role of vaccination during pregnancy.(8)

114

115 Regarding timing of vaccine and antibody production, Prabhu, *et al* evaluated 122 pregnant
116 patients who received an mRNA COVID-19 vaccine and found 44% of cord blood samples were
117 positive for IgG antibodies following one dose of the vaccine, compared to 99% of the samples
118 following both doses of the vaccine.(9) All patients and cord blood samples had detectable
119 antibodies when delivered at least 4 weeks following the first dose of vaccine. They also found
120 that the earliest antibody detection in maternal samples was 5 days following vaccine and 16
121 days following vaccine for cord blood samples.(9)

122

123 (Table 2)

124

125 COVID-19 related maternal morbidity and mortality is lower than that which occurred during
126 prior coronavirus-related epidemics, however greater than observed in the non-pregnant
127 population. Nevertheless, vertical transmission is rare. The novel FDA-approved mRNA and
128 adenovirus vaccines have the ability to reduce the risk of severe maternal morbidity and
129 mortality and induce an immunologic protection for neonates through antibody transfer in utero
130 and during lactation. The benefits of these vaccines may outweigh the risks of COVID-19
131 disease in pregnancy and in the postpartum period. Ongoing research is needed on the effects of
132 COVID-19 infection during pregnancy spanning all times in gestation as well as long term
133 studies related to effect of COVID vaccine in pregnancy.

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- 163

164 TABLES

165 Table 1. Clinical findings, laboratory parameters, and radiologic findings in order of

166 frequency in pregnancies affected by COVID-19 disease

Sign and symptoms	Range of frequency in pregnancy
Clinical Findings	
Fever	32.8-78% (10)
Cough	34-70% (10)
Dyspnea	7.3-35.6% (10)
Asymptomatic	8-32.6% (10)
Myalgia	6-24.4% (10)
Sore throat	3.4-22.2% (10)
Fatigue	9.5-18.5% (10)
Diarrhea	4-10.4% (10)
Laboratory Parameters	
Elevated CRP	40.8-70.3% (10)
Lymphopenia	29-68.2% (10)
Leukocytosis	13-45.8% (10)
Leukopenia	<45.3% (10)
Abnormal liver function test	8-27.3% (10)
Thrombocytopenia	2.7-8.4% (10)
Radiologic Findings	
Ground glass opacities	41.5-81.6% (10)

167

168 Table 2. COVID-19 Vaccines

Vaccine	Pfizer-BioNTech	Moderna mRNA	Janssen Biotech	AstraZeneca -SKBio
	BNT162b2	1273	Ad26.COV2.S	AZD1222

Type	mRNA vaccines	mRNA vaccines	Adenoviral-vector vaccine	Adenoviral-vector vaccine
FDA issued EUA in the US	Yes	Yes	Yes	No
Age eligibility	≥12 years	≥12 years	≥18 years	≥18 years
Number of pregnancies in placebo vs. treatment arms	<u>11 in the placebo arm vs 12 in the treatment arm</u>	<u>7 in the placebo arm vs 6 in the treatment arm</u>	<u>N/A</u>	<u>N/A</u>
Number of doses and frequencies	2 doses, 21 days apart	2 doses, 28 days apart	1 dose	2 doses, 4 to 12 weeks apart
Efficacy	95.0% (95% CI, 90.3%–97.6%) after the second dose	94.1% (95% CI, 89.3%– 96.8%) after the second dose	72% moderate; 85% severe; 100% COVID related hospitalization and death	60%(per EMA) to 63.09% (per WHO) after the second dose
Contain live virus?	No	No	No	No
Mechanism of action	Contain mRNA, a genetic material that encodes the SARS-COV-2 spike S protein	Contain mRNA, a genetic material that encodes the SARS-COV-2 spike S protein	Uses an adenovirus to carry the gene for the coronavirus spike S protein, which is produced by the host cell and expressed on the cell membrane, where it is detected by	Uses an adenovirus to carry the gene for the coronavirus spike S protein, which is produced by the host cell and expressed on the cell membrane, where it is detected by the host

			the host immune system to mimic components of the pathogen without causing disease	immune system to mimic components of the pathogen without causing disease
Enter cell nucleus/ integrated into the host DNA?	No/No	No/No	Yes/No	Yes/No
Other similar vaccines	None	None	Ebola, HIV, and RSV adenoviral vaccine	Ebola, HIV, and RSV adenoviral vaccine
Pregnancy test recommended prior to vaccination?	No	No	No	No
Vaccine contraindication	Acute illness	Acute illness	Acute illness	Acute illness
Risk of TTS in pregnancy	Not increased in pregnancy	Not increased in pregnancy	Not increased in pregnancy (Occurred in 8.9 in 1 million doses in nonpregnant women age 18-49)(6)	Not increased in pregnancy (Occurred in 6.5 in 1 million doses in nonpregnant women age <60, reported by EMA)
Safety data in pregnancy	Evidence from 827 completed pregnancies(6)	Evidence from 827 completed pregnancies(6)	N/A	N/A

169 COVID-19 - Coronavirus disease 19; EMA - European Medicines Agency; EUA - Emergency
170 Use Authorization; FDA - Food and Drug Administration; HIV - Human immunodeficiency
171 virus; N/A - Not applicable; RNA - Ribonucleic acid; RSV - Respiratory Syncytial Virus; SARS
172 - Severe Acute Respiratory Syndrome; TTS - Thrombosis with thrombocytopenia syndrome
173 WHO - World Health Organization

Increased obstetric outcomes related to SARS-CoV-2 infection

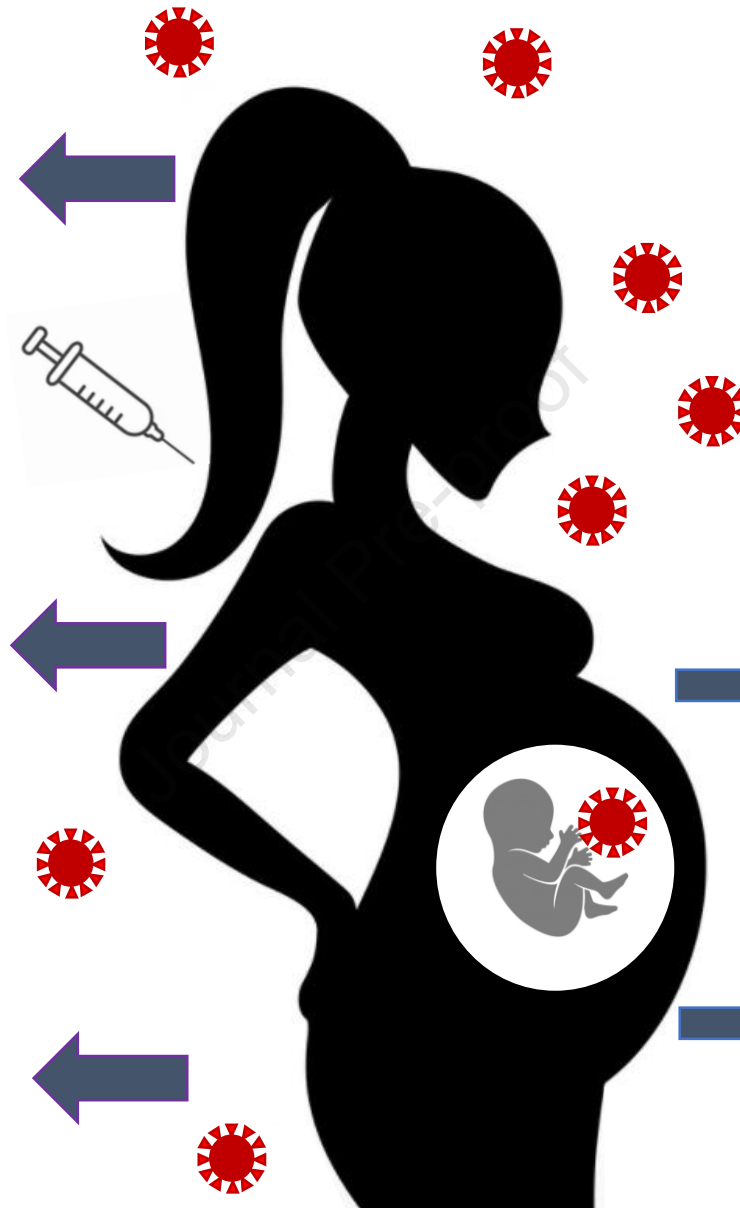
- Cesarean birth
- Hypertensive disorder of pregnancy
- Preterm birth
- VTE
- ICU admission
- ECMO
- Maternal mortality

Vaccines in pregnancy

- Higher generated immune response than natural infection
- Protective immunity through the placenta and breastmilk

Treatment and interventions in pregnancy

- Multidisciplinary team-based approach
- Corticosteroids
- Remdesivir
- Monoclonal antibodies
- Delivery timing by obstetric indications



Legend:



SARS-CoV-2 viral particles



Maternal considerations



Fetal/neonatal care considerations

ECMO: Extracorporeal membrane oxygenation;
ICU: Intensive care unit; NICU: Neonatal ICU;
VTE: venous thromboembolism

Neonatal outcomes

- Prematurity
- NICU admission
- Low birth weight

Possible mechanisms of transmission to neonates

- Vertical transmission (approximately 3.2%)
- Respiratory droplets during the postnatal period

Legend:



SARS-CoV-2 viral particles



Maternal considerations



Fetal/neonatal care considerations

ECMO: Extracorporeal membrane oxygenation;

ICU: Intensive care unit; NICU: Neonatal ICU;

VTE: venous thromboembolism

Figure 1: Pregnancy and Neonatal Considerations of SARS-CoV-2 Infection and Vaccination

Pregnant individuals with SARS-CoV-2 infection during pregnancy are at increased risk of cesarean delivery, hypertensive disorders of pregnancy, preterm birth, venous thromboembolism (VTE), intensive care unit (ICU) admission, Extracorporeal membrane oxygenation (ECMO), and maternal mortality. Treatment of pregnant infected individuals is similar to nonpregnant individuals and includes multidisciplinary team-based approach, corticosteroids, remdesivir, monoclonal antibodies and delivery timing based on obstetric interventions. Neonates exposed to SARS-CoV-2 have increased rates of prematurity, neonatal ICU admission, and low birth weight. Possible mechanisms of transmission include vertical transmission (approximately 3.2% of neonates exposed to SARS-CoV-2 in utero) or through exposure to infected respiratory droplets during the postnatal period. Vaccines against SARS-CoV-2 in pregnancy generate a higher immune response than natural infection and provide passive protective immunity through the placenta and breastmilk.